# Introduction to Neural Networks

Radek Poleski

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- Chat GPT (Nov 2022),
- End of semester poll of SJC (Jun 2024),
- OpenAl o1preview (Sep 2024),
- Nobel prizes in physics and chemistry (Oct 2024).

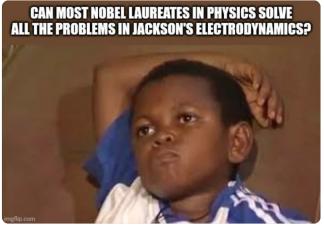
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### Motivation

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Simple Neural Networks

Activation Functions

Learning/training

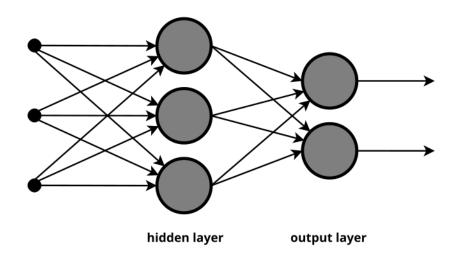
Deep Learning

Convolutional Neural Networks

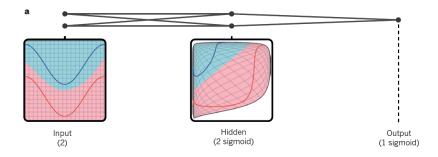
Recurrent Neural Networks

Example CNN+RNN

# Feedforward Neural Network

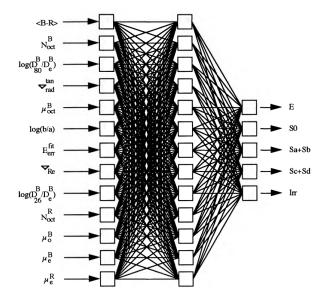


# Very Simple Neural Network



LeCun et al. 2015; https://colah.github.io/posts/2014-03-NN-Manifolds-Topology/

### First Neural Network in Astronomy



Storrie-Lombardi et al. 1992

#### Table 2. Galaxy classification.

(a) ANN					(b) ESO AUTO					
Class	Ε	<b>S</b> 0	Sa+Sb	Sc+Sd	In	E	<b>S</b> 0	Sa+Sb	Sc+Sd	Irr
Ε	203	77	25	1	5	197	87	17	5	5
<b>SO</b>	109	229	240	7	2	184	218	155	28	2
Sa+Sb	12	85	1281	218	15	106	12	791	664	38
Sc+Sd	1	4	304	415	36	22	11	24	631	72
In	0	0	53	69	126	22	9	31	42	144

Storrie-Lombardi et al. 1992

Simple Neural Networks

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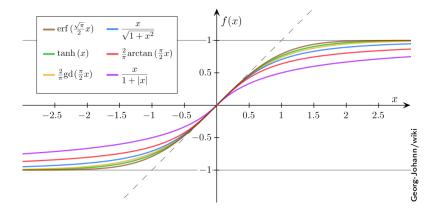
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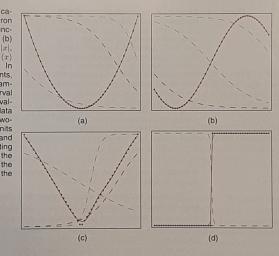
Example CNN+RNN

### Smooth Activation Functions

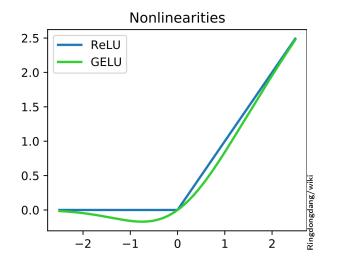


#### Ability to Approximate

Figure 5.3 Illustration of the capability of a multilayer perceptron to approximate four different functions comprising (a)  $f(x) = x^2$ , (b)  $f(x) = \sin(x), (C), f(x) = |x|,$ and (d) f(x) = H(x) where H(x)is the Heaviside step function. In each case, N = 50 data points. shown as blue dots, have been sampled uniformly in x over the interval (-1,1) and the corresponding values of f(x) evaluated. These data points are then used to train a twolayer network having 3 hidden units with 'tanh' activation functions and linear output units. The resulting network functions are shown by the red curves, and the outputs of the three hidden units are shown by the three dashed curves.



Bishop 2009 "Pattern Recognition and Machine Learning"



LeCun et al. (2015): ReLU typically learns much faster in networks with many layers than tanh(z) or 1/(1+exp(-z)).

Simple Neural Networks

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Learning/training

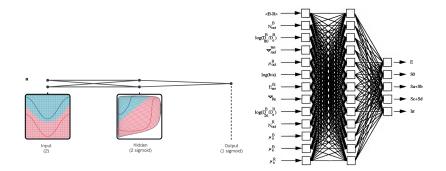
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#### What it means to learn or teach the neural network?



#### $z_j = h(a_j)$ , where h() is the activation function.

 $a_j = \sum_i w_{j,i} z_i$ , where we sum over all units that send connections to unit *j* in forward propagation.

Loss function E, e.g.,  $E = \sum_{k} (y_k - t_k)^2$ , where  $y_k$  are network outputs and  $t_k$  are corresponding target outputs.

To train the network we want to know the gradient  $\partial E / \partial w_{j,i}$ , which is calculated using chain rule:

$$\frac{\partial E}{\partial w_{j,i}} = \frac{\partial E}{\partial a_j} \frac{\partial a_j}{\partial w_{j,i}}.$$

We introduce notation:  $\delta_j \equiv \partial E / \partial a_j$ . From definition of  $a_j$  we have:

$$\frac{\partial a_j}{\partial w_{j,i}} = z_i$$

After substituting:

$$\frac{\partial E}{\partial w_{i,i}} = \delta_j z_i.$$

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$\partial E$	∂E	∂aj	
$\partial W_{j,i}$	∂aj	$\partial W_{j,i}$	

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We have to find  $\delta_j$  – use chain rule once more:

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From the definition of  $a_k = \sum_j w_{k,j} z_j$  and  $z_j = h(a_j)$ :

$$\frac{\partial a_k}{\partial a_j} = \sum_j w_{k,j} \frac{\partial h(a_j)}{\partial a_j}.$$

Last two equations combined:

$$\delta_j = h'(a_j) \sum_k \delta_k w_{k,j}.$$

Compare it with

$$a_j = \sum_k w_{j,k} z_k.$$

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2. Evaluate  $\delta_k$  for output units:  $\delta_k = 2(y_k - t_k)h'(a_k)$ .

- 3. Evaluate  $\delta_k$  for all other units using backpropagation:  $\delta_j = h'(a_j) \sum_k \delta_k w_{k,j}.$
- 4. Evaluate derivatives:  $\partial E / \partial w_{j,i} = \delta_j z_i$ .
- 5. Use derivatives to update weights  $w_{j,i}$ .

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# Deep learning – basic notes

# Representation learning – automatic detection or classification based on raw data, i.e., no domain expertise needed.

Deep learning – representation learning with multiple levels of representation. Each level is composed of modules that transform representations from one level down to the more abstract level up. Each module increases selectivity and the invariance of the representation. For images typically:

- 1. pixel values,
- 2. presence of edges at particular locations and orientations,
- 3. detection of motifs by particular arrangements of edges,
- 4. detection of objects composed of motifs,
- 5. . . .

Typically there are between 5 and 20 layers in deep networks.

based on LeCun et al. (2015)

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# Convolutional Neural Networks (CNN) – finite data, i.e., images or RGB images.

Recurrent Neural Networks (RNN) – possibly infinite data, i.e., sound, text, lightcurves(?).

Many natural signals have structure of hierarchies: higher-level features are composed of lower-level ones. Deep networks exploit this by using many layers. Convolutional Neural Networks (CNN) – finite data, i.e., images or RGB images.

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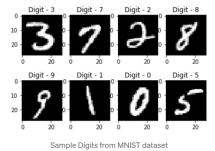
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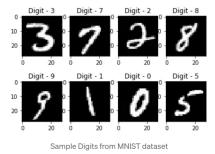
Example CNN+RNN

# Digit recognition



Digits are invariant under translation, scaling, and small rotations. Nearby pixels are more strongly correlated than distant pixels. We have to start from local image features but it's hard to keep that information in fully-connected feed-forward network.

# Digit recognition

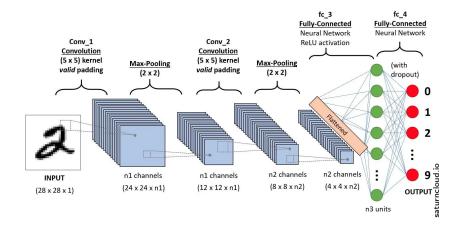


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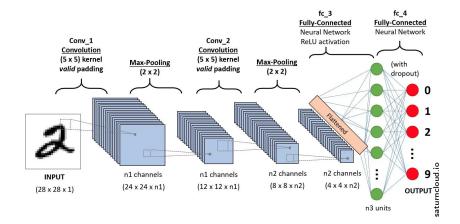
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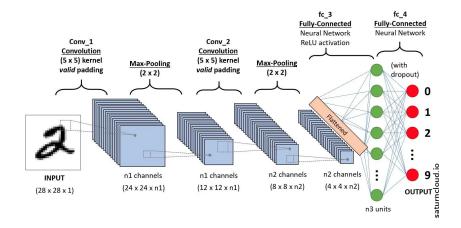
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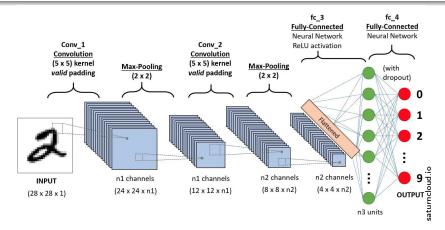
CNN: convolution + sub-sampling/pooling layers.



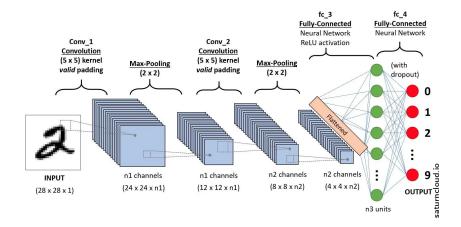
In convolution layer there are planes called feature maps.



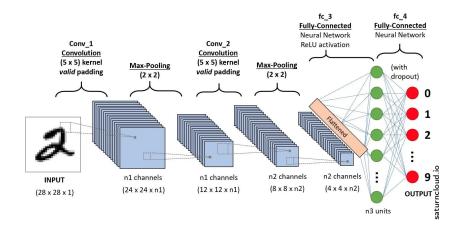
In convolution layer there are planes called feature maps. Units of feature map take small parts of the input data and apply weights.



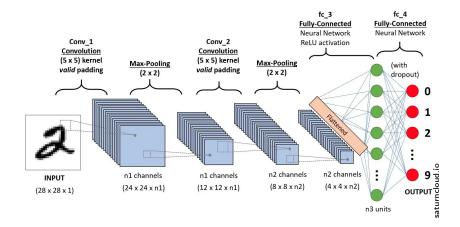
In convolution layer there are planes called feature maps. Units of feature map take small parts of the input data and apply weights. Weights are the same for all units in a map (convolution!; number of weights) but different maps have different weights.



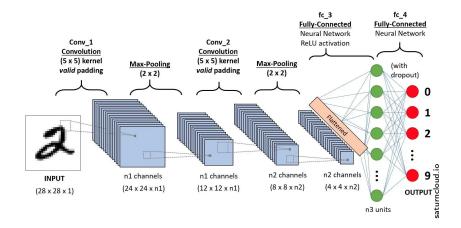
Each feature map detects a single pattern but at different locations on the image.



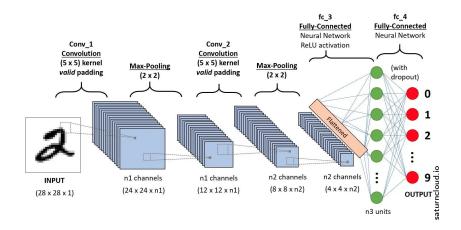
Each feature map detects a single pattern but at different locations on the image. Two units that are close on feature map take nearby parts of the input data.



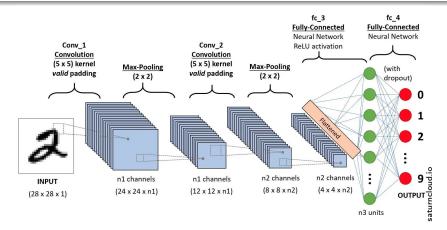
Pooling layer takes inputs from nearby parts of its input data.



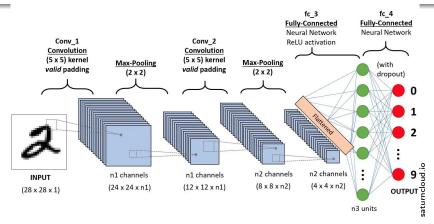
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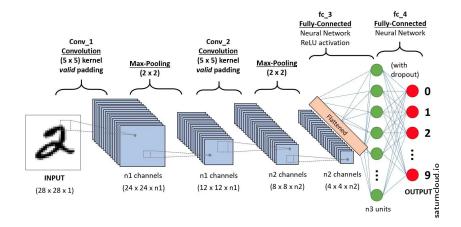
Pooling layer takes inputs from nearby parts of its input data. The inputs are small (e.g., 2x2) and non-overlapping. It does max() or average() operations.



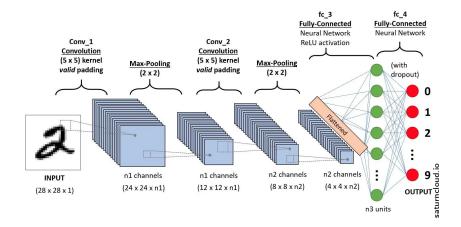
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Pooling layer takes inputs from nearby parts of its input data. The inputs are small (e.g., 2x2) and non-overlapping. It does max() or average() operations. This makes invariance to small shifts of network input data. In successive steps, the spatial resolution is reduced but number of features extracted increases.



Pooling can be done from different feature maps, but from similar locations.



At the end typically there is a fully-connected feed-forward network that makes flattening on its input.

Key ideas behind CNNs:

- local connections,
- shared weights,
- pooling,
- use of many layers.

LeCun+15

Simple Neural Networks

Activation Functions

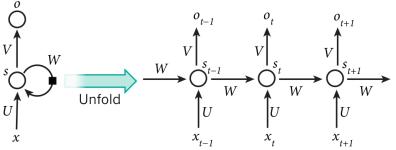
Learning/training

Deep Learning

Convolutional Neural Networks

Recurrent Neural Networks

Example CNN+RNN



U, V, and W are matrixes.

Hard to train using backpropagation.

Memory can be implemented.

Long Short-Term Memory (LSTM) Gated Recurrent Unit (GRU)

Simple Neural Networks

Activation Functions

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Example CNN+RNN

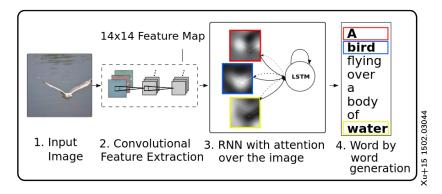


Figure 3. Examples of attending to the correct object (white indicates the attended regions, underlines indicated the corresponding word)



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



A little girl sitting on a bed with a teddy bear.



A group of <u>people</u> sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

#### Failures

Figure 5. Examples of mistakes where we can use attention to gain intuition into what the model saw.



A large white bird standing in a forest.



A woman holding a clock in her hand.



A man wearing a hat and a hat on a <u>skateboard</u>.



A person is standing on a beach with a surfboard.



A woman is sitting at a table with a large <u>pizza</u>.



A man is talking on his cell phone while another man watches.

- Feed-forward
- Fully-connected
- Features
- Activation functions
- Backpropagation
- Deep learning
- CNN
- RNN